

02/12/01



02-13-01

Docket No.

102199-100

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**CERTIFICATION UNDER 37 CFR §1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date February 12, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EL662116566US to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

William A. Simons  
Printed Name of Person Mailing Paper

*William A. Simons*  
Signature of Person Mailing Paper

1040 U.S. PTO

09/781610



02/12/01

**APPLICATION TRANSMITTAL**  
**UNDER 37 CFR §1.53(b) and 37 CFR §1.153 (Design Application)**

**BOX PATENT APPLICATION**  
**COMMISSIONER FOR PATENTS**  
**WASHINGTON, D.C. 20231**

Dear Sir:

Transmitted herewith for filing under 37 CFR §1.53(b) or 37 CFR §1.153 is the patent application of:

Inventor(s): Jonathan Stanley Harold Denyer  
Anthony Dyche

For: IMPROVEMENTS IN AND RELATING TO CONTROLLING  
DRUG DELIVERY APPARATUS

1. Type of Application:

- ☒ Original ☐ Design ☐ Continuation  
☐ Divisional ☐ Continuation-In-Part

2. Benefit of Prior Foreign Application(s) (35 U.S.C. §119)

- ☒ The new application being transmitted herein claims the benefit of:  
☒ prior Foreign Application Serial No. GB0003197.1, filed on Friday, February 11, 2000 in Great Britain; or  
☐ PCT International Application designating at least one country other than the United States with the Serial No. , filed on .

A certified copy is ☐ enclosed or ☐ on file in the prior application.

3. Benefit of Prior U.S. Application(s) (35 U.S.C. §120)

- ☐ The new application being transmitted herein claims the benefit of prior compending U.S. application(s), U.S. Serial No.: , filed on .

4. Papers Enclosed Which are Required for Filing Under 37 CFR §1.53(b) or 37 CFR §1.153

15 page(s) of Specification

6 page(s) of Claims, having 41 total claims, including 7 independent claims and 34 dependent claims.

1 page(s) of Abstract

5 sheets of ☐ formal ☒ informal Drawings

5. Additional Papers Enclosed

- ☒ Return Receipt Postcard  
☐ Preliminary Amendment  
☐ Information Disclosure Statement  
☐ Form PTO-1449  
☐ Copies of Cited Art  
☐ Other:

6. Declaration

An Unsigned Declaration is ☒ enclosed ☐ not enclosed.

7. Assignment

☐ Enclosed is a recordation form and an assignment of the invention to , a corporation of the of .

8. Small Entity Status

☒ Applicant claims Small Entity Status.

9. Fee Calculation (37 CFR §1.16)

CLAIMS AS FILED					
NUMBER FILED			NUMBER EXTRA	RATE	BASIC FEE
					\$710.00
TOTAL CLAIMS	41	-20	21	\$ 18.00	\$ 378.00
INDEPENDENT CLAIMS	7	-3	4	\$ 80.00	\$ 320.00
MULTIPLE DEPENDENT CLAIMS, if any				\$270.00	
TOTAL					\$1408.00
REDUCTION FOR SMALL ENTITY BY 50%					\$ 704.00
TOTAL FILING FEE					\$704.00

10. Method of Payment of Fees

- ☐ Check in the amount of .
- ☒ Charge Account No. 23-1665 in the amount of \$704.00.

11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees which may be required under 37 CFR §§ 1.16, 1.17, 1.18, or credit any overpayment to Account No. 23-1665.

12. Correspondence

Please address all correspondence to:

William A. Simons  
Intellectual Property Law Section  
WIGGIN & DANA  
One Century Tower  
New Haven, CT 06508-1832

Respectfully Submitted,  
John Denyer, et al.



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Date: 2/12/01